

1 **Distribution of Hepatitis B virus surface antigen (HBsAg) mutations in treatment-naïve chronic carriers**  
2 **from Tehran Metropolis, Iran**

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۲۳ **Abstract**

۲۴ **Background:** Naturally occurred hepatitis B virus (HBV) with surface mutations in a variety of chronic  
۲۵ hepatitis B (CHB) patients who have received no vaccine or HBIG bearing substitutions in surface protein,  
۲۶ have been reported.

۲۷ **Objectives:** Current knowledge concerning the prevalence of these naturally occurring surface antigen  
۲۸ mutations among Iranian carriers is limited.

۲۹ **Patients and Methods:** In a retrospective cross-sectional study, 119 inactive HBV chronic carriers were  
۳۰ enrolled. The surface gene was amplified, sequenced and subsequently aligned using international and  
۳۱ national sequence database.

۳۲ **Results:** All strains belonged to genotype D, subgenotype D1 and subtype ayw2. In 74 (62.18%) of  
۳۳ patients, 146 (68.8%) out of 212 amino acid mutations occurred in different immune epitopes within  
۳۴ surface protein, of which 28 (19.17%) in B cell, 37 (25.34%) in T helper and 81 (55.47%) inside CTL  
۳۵ epitopes. 13 (8.9%) and 15 (10.27%) of amino acid substitutions occurred outside and within the “a”  
۳۶ determinant in Major Hydrophilic Region (MHR). While 11 (9.24%) and 77 (64.7%) patients who  
۳۷ harbored amino acid mutations, were HBeAg and anti-HBe positive, respectively ( $p=0.004$ ). 9 and 63  
۳۸ amino acid mutations were occurred in different HBsAg epitopes in HBeAg and anti-HBe positive patients,  
۳۹ respectively ( $P=0.04$ ).

۴۰ **Conclusions:** HBV mutants within the surface immune epitopes seem to be extremely common among  
۴۱ chronic carriers from Tehran, especially those who are anti-HBe positive, indicating that after HBeAg  
۴۲ seroconversion, due to the selection pressure of e antibody, the occurrence of mutation is an inevitable effect  
۴۳ of the evolutionary process.

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۴۶ **Keywords:** HBsAg mutations, HBV genotype D, HBV Iran, HBsAg immune epitopes

εγ

εδ **Introduction:**

εε The human hepatitis B virus (HBV) is a non-cytopathic DNA virus that causes various manifestations of  
ες liver disease. Chronic HBV infection frequently leads to the development of cirrhosis and hepatocellular  
εζ carcinoma (HCC). However, the mechanisms by which HBV escapes immunological surveillance and  
εη persists chronic carriers, remain obscure.

εθ In chronic carriers, the specific T cell response is significantly weaker and in many patients is undetectable,  
εια in contrast to acute phase [1-4]. The T cell response ineffectiveness in the pathogenesis of chronic HBV  
ειβ infection has been attributed to the several factors of which the escape mutants within immune epitopes of  
ειδ HBV constitute a significant role and isolated cases of infection with HBV variants bearing substitutions in  
ειε these regions, are predicted to escape from immune surveillance (immune-escape variants). The  
εικ consequence of selected pressure posed by anti-S antibodies would be the emergence of immune escape  
ειλ mutations in this protein which no longer could be recognized by the host immune system.

εις Naturally occurring HBV with surface mutations in a variety of chronic hepatitis B (CHB) patients without  
ειδ receiving any vaccine or HBIG bearing substitutions in these regions, with variable rates of occurrence  
ειε regardless of being within[5-7] or outside of “a” determinant in particular T cell epitopes, have been  
εικ reported [8-11] and several immune epitope specific for B, Th and CTL within the surface protein have  
ειλ been described [12-16].

εις However, current knowledge concerning the prevalence of these naturally occurring surface antigen  
ειδ mutations is limited. Furthermore, the prevalence of these variants according to the clinical state and  
ειε HBeAg serostatus has been investigated recently by authors.

78 The aim of this study was (i) to determine the genotypes of HBV in metropolitan city of Tehran, a city without  
79 an indigenous population which is the residence of a variety of ethnic groups and (ii) to characterize the  
80 molecular variations and to compare those variations to the serologic/clinical data of the chronic patients.

## 81 **Materials and Methods**

### 82 *Sera*

83 This retrospective cross-sectional study used 119 stored (-80 C) serum samples previously collected from  
84 patients referred to Tehran Hepatitis Network and Digestive Disease center for GI, Tehran during (2006-  
85 2008). All the index patients had chronic HBV infection; and all were interviewed and examined by  
86 gastroenterologists to evaluate the clinical findings and the results of the investigative workup (liver  
87 histology, ultrasonography, and laboratory tests such as serologic, biochemical and virological tests) in  
88 order to determine the clinical status of the patient. Chronic hepatitis was defined as HBsAg positivity with  
89 or without the presence of HBeAg and a low to moderate HBV DNA levels, persistent or intermittent  
90 elevation in the serum ALT levels, and compatible liver biopsy. The study was approved by the Ethics  
91 Committee of Tehran Hepatitis Network (code 16-86-10-12) and an informed consent was obtained from  
92 each participant. All authors respect their patients' privacy and assure that patients' confidentiality have  
93 been regarded. With no evident of co-infection with other hepatitis virus, human immunodeficiency virus  
94 (HIV), they were treatment-naive. The diagnosis of chronic liver disease was made by clinical,  
95 biochemical, radiological and endoscopic criteria. The clinical data of all patients were reviewed  
96 retrospectively in order to collect information about previous laboratory tests (aspartate aminotransferase  
97 (AST); alanine aminotransferase (ALT) and demographic (gender and age) data).  
98 Commercially available enzyme-linked immune assay kits were used according to the manufacturer's  
99 instructions to test serological markers (HBsAg, HBeAg and anti-HBe) (Organon, Technika, and Holland).

90 *DNA extraction and Polymerase chain reaction*

91 Viral nucleic acid was extracted from serum using the Qiagen Mini Blood Kit (Qiagen, Hilden, Germany)  
92 following the manufacturer's recommendations, and the recovered nucleic acid was either tested for HBV  
93 DNA the same day or stored at  $-20\text{ }^{\circ}\text{C}$  to be analyzed within 1 week. To analyze mutation patterns and  
94 their frequencies in the MHR of the surface gene, a nested PCR protocol was used as described previously  
95 [17].

96 *Direct sequencing*

97 Direct sequencing of surface gene was carried out (Genetic Analyzer ABI- 3130 DNA Sequencer,  
98 Foster City, CA, USA) using 2 pmol of appropriate primers: S6 and S7 for surface gene [17]. The results  
99 were analyzed using Chromas program. Sequences of surface gene were aligned using the BioEdit  
100 Package, version 7.0.9.

101 *Sequence analysis*

102 The reference sequences were available in the GenBank database with the corresponding accession number  
103 AB033559 [18] among various HBV genotypes. The sequences were compared with this original sequence  
104 for identifying variants. After allocating a sequence to an HBV genotype, the surface gene amino  
105 acid/nucleotide variations that were found were compared with HBsAg sequences from Iranian isolates  
106 obtained from GenBank and NCBI; and from our own laboratory reports. Comparing with the former, any  
107 amino acid changes defined as "variant" (host HLA-determined). With regards to the latter (Iranian  
108 database sequences), amino acid differences defined as "mutation". Sequences have been submitted to  
109 GenBank, numbered according to the time of submission: HM358277-329, HM358335-39 and  
110 KC176076-136.

111

112

113 *Phylogenetic analysis*

114 Phylogenetic analysis was performed and a neighbor-joining phylogenetic tree constructed using the  
115 MEGA 5 employing a Kimura distance matrix [19]. One isolate from each genotype A to H as well as  
116 one from each subgenotypes D (D1 to D8) were chosen from NCBI. For outgrouping, a genotype A  
117 (accession numbers: AY161161 from India) and a genotype G (accession numbers: AB056513 from  
118 USA) were chosen. Samples were tested by bootstrap resampling analysis using 1000 replicates.  
119 Associations with a bootstrap value of greater than 70% were presumed significant.

120 *Statistical analysis:*

121 Descriptive statistics including mean±SD, percentage and contingency table were used to present the  
122 data. Chi-square test (with Yates correction), fisher exact test and Mann-Whitney U test were  
123 employed to find the significant variation in distribution across the HBeAg and anti-HBe positive. P-  
124 value less than 0.05 considered as the significance level. All analysis carried out, using SPSS version  
125 18.

126

127 **Results:**

128 119 HBsAg-positive patients infected with HBV were enrolled in this study. The main characteristics  
129 of patients are shown in table 1. 21 (17.6%) and 94 (78.9%) of patients were HBeAg and Anti-HBe positive,  
130 respectively. The mean age was 34.8±13.1 (mean±SD) years (range: 1.5-71 years, median: 34 years). In  
131 HBeAg positive, the mean age was 25±12.7 and in Anti-HBe positive the mean was 36±12.3. In HBeAg  
132 positive, the mean age was 25±12.7 and in Anti-HBe positive it was 36±12.3. The study population was

133 consisted of 92 males (77.3%) and 27 females (22.7%). In Anti-HBe positive, 76.2% was male, and in  
134 HBeAg positive, 76.6% was male, respectively. The mean ALT level was  $84.1 \pm 66.7 \pm \text{sd}$  (results not  
135 shown). The mean HBV DNA levels was  $1.5 \times 10^3$  (results not shown).

136

#### 137 *Phylogenetic Analysis*

138 In the phylogenetic tree deduced from 30 randomly-selected isolates along with reference sequences  
139 belonged to different HBV genotypes and subgenotypes; all sequences clustered within genotype D  
140 (100%), subgenotype D1 supported by 95% and 97% bootstrap value (1,000 replicates) (fig 1).

141

#### 142 *Substitutions in comparison with reference genotype D (Okamoto, AB033559):*

143 Analysis of variation within the S gene of 119 patients with chronic HBV infection demonstrated that the only  
144 detected subtype was D (100%) and subtype ayw2 (100%) (Results not shown).

145 Overall, comparing with this reference sequence, at the nucleotide level, six changes were occurred  
146 (G208C, A339T, C360A, A420C, C438T and T513C), of which G208C was a non-synonymous  
147 substitution that at the amino acid levels altered alanine to proline (A70P) (Results not shown). We believe  
148 that this substitution was assigned as “variant” (see material and methods). According to the above  
149 mentioned description, 473 and 212 changes at the nucleotide and amino acid levels were mutations,  
150 respectively (Table 1).

#### 151 *Nucleotide and amino acid substitutions*

152 In comparison with Iranian sequences obtained from the database as well as from our data, in addition to the  
153 genotypic characterization described above, the sequences of the strains showed a few variability over the  
154 regions sequenced. In all, 473 “mutations” occurred, of which 246 (52%) were missense (amino acid altering)

100 and 227 (48%) were silent (no amino acid changing) (Table 1). At amino acid level, 212 substitutions occurred  
106 in 90 (75.63%) of patients (Table1). Table1 shows the comparison between nucleotide and amino acid  
107 variations from the isolates. 13 isolates had stopped codon either in positions 69 or 216. Further, it was possible  
108 to identify the level of protein surface evolution between isolates by measuring the mutation rate of individual  
109 sequences (Table1). The average mutation rate of all sequences (non-synonymous to synonymous substitutions,  
110  $dN/dS$ ) was 0.92 according to the number of mutations per site. The average nucleotide mutation frequency was  
111 3.97 per sample. There was a probability of 0.58% for substitution per nucleotide position. The average amino  
112 acid mutation frequency was 1.89 mutations per sample. There was a probability of 0.84% for substitution per  
113 amino acid position (Table1).

114

#### 115 *Amino acid mutations within the surface protein immune epitopes*

116 In 74 (62.18%) of patients, 146 (68.86%) out of 212 amino acid mutations occurred in different immune  
117 epitopes within surface protein, of which 28 (19.17%) in B cell; 37 (25.34%) in T helper and 81(55.47%)  
118 inside CTL epitopes (Table1).14 (11.7%) and 11 (9.2%) of patients had amino acid substitutions within and  
119 outside the “a” determinant region of surface protein, respectively (results not shown). Mutations in the  
120 former residue did not allocate for a certain subtype and/or genotype, thus they were mutation, not variants  
121 (results not shown).

#### 122 *Surface protein variations and clinical status*

123 Table 2 shows the correlation between HBeAg/anti-HBe status and the number of nucleotide/amino acid  
124 variations. The total number of nucleotide (regardless of being silent or missense) substitutions was not  
125 significantly different in both groups. However, 11(9.24%) and 77(64.7%) of patients who harbored amino  
126 acid mutations were HBeAg and anti-HBe positive, respectively ( $p=0.004$ ) (Table-2). Likewise, comparing  
127 both groups in terms of distribution of amino acid mutations, showed that 9 and 63 amino acid mutations



178 were occurred in different HBsAg epitopes in HBeAg and anti-HBe positive patients, respectively  
179 (P=0.04). Furthermore, the number of mutations within T helper and CTL mutations were found more in  
180 anti-HBe positive than HBeAg positive patients with significant correlations: P values: 0.017 (T helper  
181 epitopes) and 0.036 (CTL epitopes), respectively (Table-2). Despite occurrence of a majority of mutations  
182 within and outside the “a” determinant in anti-HBe positive versus HBeAg positive groups, however, they  
183 did not reach significant correlation (Table 2). Interestingly, 27 patients (22.68%) did not contain any  
184 mutations at amino acid levels (17 anti-HBe positive vs 10 HBeAg positive, P=0.004). There was no correlation  
185 between the amino acid substitutions and the ALT/AST and HBV DNA levels as well as biopsy results (Results  
186 not shown).

187

## 188 **Discussion**

189 The prevalence and types of variants of the S gene should be recorded; because this will affect policy  
190 decisions related to managing chronic carriers. Therefore, in the present study, mutations in the whole  
191 HBsAg were analyzed comprehensively in 119 chronic patients from capital Tehran.

192 Genotype D, subgenotype D1 and subtype ayw2 accounted for 100% of isolates. Published data from our  
193 laboratory indicated that in Iran, there has been an obvious uniqueness of this virus genetic pattern [20-23]. We  
194 have already hypothesized that this unique pattern of homology is related to the recent distribution and circulation  
195 of HBV in Iran comparing to other countries in the region [20, 24].

196 HBV mutation has a complicated scenario. In fact, this infectious phenomenon seemed to be the  
197 potential mechanism for the pathogenesis basis of chronicity and the clinical complications. Recent  
198 studies have shown that HBV surface protein (HBsAg) is more variable than it was initially thought to be,  
199 and amino acid exchanges are scattered over the whole molecule. The overall ratio between silent and  
200 missense nucleotide mutations in all patients was 0.92. This indicated that the proportion of deduced amino

acid changes in these chronically infected patients was high and a positive selection pattern has been exerted on the sequences, as more than 75.63% of the patients including the present study, were found to be infected with one or more of these variants.

The distribution of the mutations within known surface protein immune epitopes reflects the virus-host interaction with a prolonged infection period. Being a structural protein, HBsAg is an immune target. The consequence of selective pressure posed by anti-S antibodies, would be the emergence of immune escape mutations in this protein which no longer could be recognized by the host immune system. The prevalence of T cell and 'a' determinant epitope mutants according to the presence of HBeAg was compared. The transition from an immune tolerant state to an immune clearance state with the generation of antibodies against HBeAg results in a strong selective pressure on the viral genome. Since HBeAg, is highly immunogenic at the B and T cell levels, the prevalence of these variants in HBeAg-negative patients in an immune clearance state would be expected to be higher than in HBeAg positive patients in an immune tolerant state. Despite the majority of anti-HBs antibodies that appear after natural infection are directed against the 'a' determinant epitope cluster in several studies [6, 25] (16-18); it is interesting that most of the amino acid changes observed in our survey were clustered in 2 regions; residues 193 and residues 207 of the small surface protein. These residues have been shown to stimulate the host T helper and CTL epitopes, respectively [15]. Occurrence of 212 amino acid mutations in 70 positions indicated that there were hotspot residues for these substitutions. In total, a majority of patients who harbored the mutations were anti-HBe positive, indicating that after seroconversion, due to the selective pressure of e antibody, the occurrence of mutation is an inevitable effect of evolutionary process. This was in accordance to the previous data carried out on the core protein elsewhere [26-29]. On the other hand, there were no significant associations between biochemistry as well as hepatitis activities (according to biopsy results) of patients with the patterns of amino acid substitutions in the surface proteins of the patients.

۲۲۴ Our results shows that the frequencies of mutations within the major hydrophilic region (regardless being  
۲۲۵ either within or outside the ‘a’ determinant) are comparable for anti-HBe and HBeAg positive patients  
۲۲۶ (Table 2), which supports the presence of selective pressure for mutations in the MHR region. It seems  
۲۲۷ that, as an alternative, virus neutralizing activity may reside in antibodies related to distantly located amino  
۲۲۸ acid residues in other parts of the protein (outside the “a” determinant) as mutations in those residues have  
۲۲۹ been reported previously [5, 7-9, 11].

۲۳۰ In conclusion, HBV surface mutants seem to be extremely common among chronic carriers from Tehran,  
۲۳۱ and mutants with a potential impact on relevant aspects of the HBV infection should be expected in a  
۲۳۲ significant proportion of carriers. For a better interpretation, the allocation of such molecular variations  
۲۳۳ to the clinical, serological and biochemical pictures needs to be explored. In this scenario, even an  
۲۳۴ individual variation must be taken into account.

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۲۳۶ **Conflict of Interest:** No conflict of interest to be declared.

Table 1. The main characteristics and evolutionary status of surface genes and proteins between 119 isolates

Number of samples	119
Male/female	92 (77.3%)/27(22.7%)
HBeAg/Anti-HBe	21 (17.64%)/94 (78.99%)
Number of nucleotide mutations in comparison with the Iranian reference sequence	473
Mean and ratio of nucleotide mutations in comparison with the Iranian reference sequence (per site)	0.58-3.97%
Number of nucleotide silent mutations	227
Ratio of silent mutations (silent/total)	0.47
Number of missense mutations	246
Ratio of missense mutations (missense/total)	0.52
Nucleotide mutations rate (silent/missense)	0.92
Number and percentage of stop codons	14 (6.6%)
Number of amino acid changes	212
Mean and ratio of amino acid change (per site)	0.44-1.02
Number of amino acid changes in immune epitopes	146
Number of amino acid changes in non-immune epitopes	66
Immune/Non-immune ratio	2.21
Number and percentage of amino acid changes in B cell immune epitopes	28 (19.17%)
Number and percentage of mutations within “a” determinant	14 (9.5%)
Number and percentage of mutations outside “a” determinant	11 (7.53%)
ratio of “a” determinant to non-a determinant mutations (within MHR)	1.27

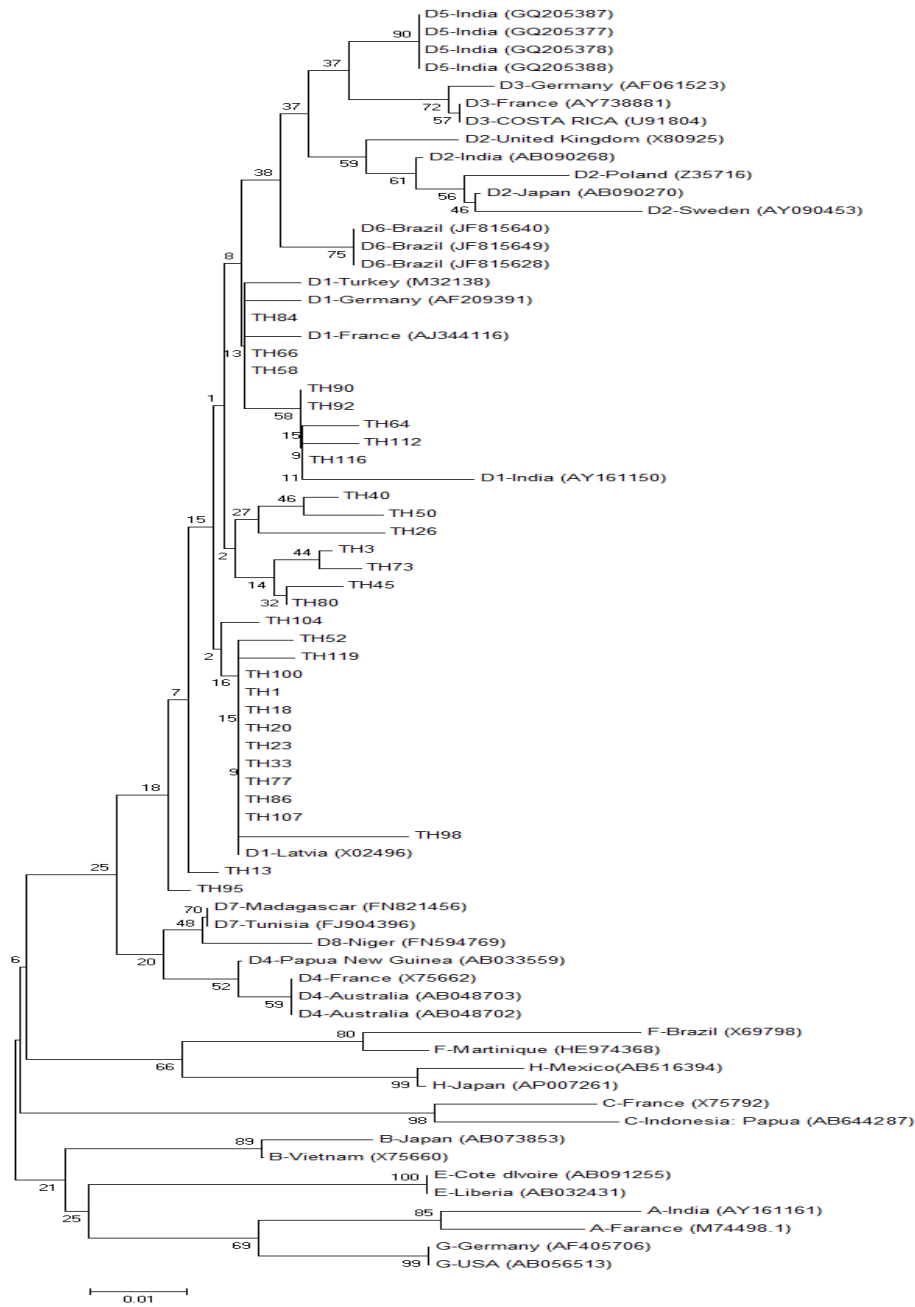
Number and percentage of amino acid changes in Th cell immune epitopes	37 (25.34%)
Number of amino acid changes in CTL cell immune epitopes	81 (55.47%)

Table 2. Correlation between HBeAg and anti-HBe status of patients and the number and pattern of nucleotide and amino acid mutations distribution within the surface protein in different immune epitopes (**Note:** some patients were negative for both markers and thus the sum of patients in the table are

not equal with the total number of substitutions).

<b>HBsAg Substitution levels (no of patients)</b>	<b>HBeAg Positive (N= 21) 17.64%</b>	<b>Anti-HBe Positive (N= 94) 78.99%</b>	<b>P-Value</b>
Nucleotide mutation (N= 113)	19	90	0.30
Amino Acid mutation (N=90)	11	77	0.004
“a” determinant Mutations (N= 12)	2	9	0.99
Total No-mutations (N=29)	10	17	0.004
Immune Epitope Mutations (N=74)	9	63	0.04
T helper epitope Mutations (N= 29)	1	28	0.017
CTL Epitope Mutations (N= 52)	5	46	0.036
B cell Epitope Mutations (N= 20)	4	14	0.63
Mutations within ‘a’ determinant (N= 15)	2 (0.94%)	12 (5.66%)	0.63

Mutations outside 'a' determinant (N= 13)	2 (0.94%)	9 (4.24%)	0.29
Non-Immune Epitope Mutations (N= 53)	6	45	0.11





*Fig-1.* Evolutionary relationships of 119 taxa: The evolutionary history was inferred using the Neighbor Joining method. The bootstrap consensus tree, inferred from 1000 replicates, is taken to represent the evolutionary history of the taxa analyzed. Branches corresponding to partitions reproduced in less than 50% bootstrap replicates are collapsed. The percentage of replicate trees in which the associated taxa clustered together in the bootstrap test (1000 replicates) is shown next to the branches.

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